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CERAMIC TO METAL BONDING

BY

SPENCER A. MARRESE

An Essay Submitted To The Faculty
Of The Graduate School,
Marquette University,
In Partial Fulfillment Of The Requirements
For
The Degree Of
Master Of Science
In
Mechanical Engineering

Milwaukee, Wisconsin

November, 1980

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ABSTRACT

Various theories for ceramic to metal bonding are discussed. Theories prior to the mid-1960's are categorized as either mechanical or chemical in nature with the main emphasis being a bibliography of articles on the topic which have been listed as appendices. Current theories are discussed as they relate to a Battelle Memorial Institute study conducted in the late 1950's.

Major factors affecting the ceramic-metal interfacial region, as related to surface or firing changes, are reviewed from current research.

Several possible directions to conduct future study are listed.

ACKNOWLEDGEMENTS

This is to express my sincere thanks to the many people who have played a part in my Master's program and this essay.

Thanks to Dr. Hirthe for his guidance throughout my Master's Degree Program, as my advisor and chairman of my review committee, and to Dr. Fournelle and Dr. Matar as review committee members. Thank you to Mr. Jim Sullivan and Mr. Dave Kramer for their help in finding sources of information and review of the essay; to Mr. Larry Medley for his work in the primary data search, and to Josephine LaValle for her help in typing of the essay.

Thanks also to the Briggs and Stratton and A. O. Smith corporations for their financial support.

And, finally, a special thanks to my wife, Honore', for her patience throughout my program and help in organizing the appendix material of the essay.

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BACKGROUND, DESCRIPTION AND DEFINITION OF CERAMIC TO METAL BONDING

The process of applying ceramic materials to metallic materials has been accomplished since ancient times, yet no universally accepted explanation has been proposed to date for the mechanism which causes this phenomenon. The major reasons for the lack of concrete evidence on how a ceramic can be made to bond to a metal appears to be, first, because of the many factors critical to achieving such a bond,¹ second, the fact that the simple mechanical theories (which will be discussed later) have been disproven, both by experimental and analytical methods,² and, third, because equipment capable of answering many questions about the materials after bonding has not been available until fairly recent years.³

Some of the factors involved in the ceramic-to-metal bond which will be discussed, in addition to compositional changes in both materials, include physical and chemical surface changes in the metal and changing parameters in the furnace during firing of the ceramic onto the metal surface. The complexity and certainty of the research describing physical and theoretical chemical effects of changing these parameters on the strength of the bond has, to a large extent, been dependent on the equipment available.

A simple progression of the research equipment to try to discover the mechanism of bonding has lead from the light microscope in the

eighteen and early nineteen hundreds, to the x-ray diffraction equipment of the early 1900's, the electron microscope of the 1940's and 1950's, to the use of their present day counterparts, along with current dispersive x-ray, scanning electron microscope, electron and ion microprobe, etc.⁴ As can be seen from this list of current equipment used to help determine the bonding mechanism, although the problem has remained the same, the techniques for analysis have become much more complex.

Along with the complexity in research techniques has come more complex theories for the bonding mechanism. However, throughout this essay, the definition used for ceramic to metal bonding will be simply "any attractive force between ceramic and metal materials." This simple definition does not imply that the mechanism itself is of a simple nature, but, rather, that it is of a very general nature. There have been several theories to describe the underlying mechanism of the bond, however, the major intent of this essay is not to present a historical record but, rather, a present day listing of theories.

PURPOSE OF THE ESSAY AND INTENDED AUDIENCE

The purpose of this essay is to conduct a literature search and review of current literature on the theories and practices of ceramic to metal bonding. The information is intended for those people knowledgeable in ceramic sciences or ceramic engineering. No detailed explanations of the underlying chemical reactions presented, or modes of operation of various test equipment, will be given.

SOURCES OF INFORMATION AND LIMITATIONS OF THE ESSAY

Several data sources have supplied initial abstracts on the presently available periodical and research literature on the topic. Sources which were searched for information include ismec-mech. engineering, compendex, excerpta medica, bioscience previews, and weldindex data bases.

After reviewing the listing of abstracts, pertinent articles were gathered. Then, from the source articles, a review of their references was conducted.

Along with the periodical review, local libraries were also searched for books on the topic.

However, the essay is not intended as an exhaustive review of all written literature. Therefore, those articles which were not reviewed but are pertinent to the topic are listed in the appendices.

It must also be acknowledged that the author makes no claims of a specialized knowledge in the ceramic sciences through personal research on the topic, but is only reporting the ceramic to metal bonding theory as interpreted by researchers who have conducted such work.

One additional limiting factor on such a literature study is that, due to the highly technical nature of the topic and the value bonding information may have to manufacturers of products using this technology, a certain amount of material has not been published. This material is either being held in confidential company reports or for sale by consulting companies who have done research on the topic.

MAJOR TOPICS

The major topics of this essay review the reported theories of ceramic to metal bonding and the effects of changing test parameters on the bond.

REPORTED THEORIES OF CERAMIC TO METAL BONDING

The topic of ceramic to metal bonding has a long historical background which has consisted of periods of extremely high interest in the topic, linked together by periods of more moderate interest. After several of the peak research periods on the topic, review and/or comparison-type publications have been written so that now most of the roughly 400 articles prior to the mid-1960's can be traced through these summaries.⁵ The articles present several theories to describe the underlying mechanism of ceramic to metal bonding, however, it is not the major intent of this essay to present a lengthy historical report, but rather a present day listing of theories. Keeping this intent in mind, only a short review of older theories will be given, with the main emphasis being a listing of articles by their general theoretical model in the appendices.

Several of the theories have been given specific names which describe the result of reactions occurring during the firing cycle, but, in general, all theories can be categorized as mechanical or chemical in nature. Mechanical theories are those having to do with mechanical interlocking or keying of the ceramic and metal. Chemical theories are governed by either ionic, covalent, or metallic bonding mechanisms.

In the mechanical bond, the narrowest cross sectional area of the glass keys is the controlling strength factor, while the chemical bond is controlled by the theoretical strength of the elements or compounds forming the bond.⁶

Table 1 lists specific theories along with a brief description of each. Theories are categorized as either mechanical or chemical in nature, depending on how the original author views the bonding mechanism. For example, Figure 1 shows a schematic representation of the galvanic corrosion theory of bonding.

The original author's interpretation is that after the corrosion of the metal surface has taken place, the bonding mechanism is the mechanical keying of the ceramic into the holes which have been formed. Therefore, the theory is categorized as mechanical, however, it has not been disproven that the actual mechanism is really some form of chemical bond. In reality, the bond may be between the oxygen in the glass and the cobalt, which in turn is chemically bonded to the iron.⁷

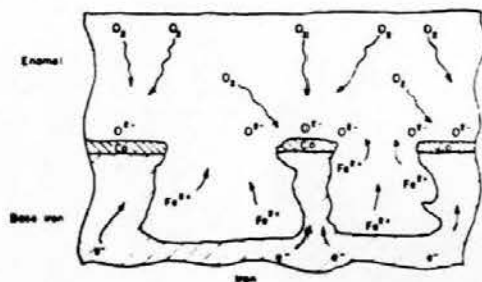


Fig. 1. Schematic presentation of galvanic corrosion in cobalt oxide-bearing ground coat as theorized by Dietzel.

Source: B. W. King, et al, "Nature of Adherence of Porcelain Enamels to Metals," *Journal of American Ceramic Society*, Vol.42, No. 11, November, 1959, p. 505.

Theory	Description
<u>MECHANICAL:</u>	
Gripping	The ceramic bonds to the metal because of surface roughing by etching or blasting, which gives irregularities to which the enamel can hold. ⁸
Dendritic	Dendrites formed by the reduction of iron or the growth of iron crystals at the interface serve as projections to hold the enamel to the iron. ⁹
Electrolytic or Galvanic Corrosion	Metal oxides dissolved in enamels are reduced, during firing, to the metallic state by the base metal to which the enamel is applied. On the assumption that metals higher in the electromotive series displace metals lower in the series in solution of molten glass in much the same manner as they do in aqueous solutions, the adherence promoting metal is believed to form shorted localized galvanic cells which strongly corrode the base metal. The molten ceramic then develops a mechanical bond by penetrating the resultant cavities and by gripping the surrounding projections. ¹⁰
<u>CHEMICAL:</u>	
Oxide Layer	An oxide layer between the ceramic and the metal is responsible for the bond. ¹¹
Hydrogen Reduction	The oxide of cobalt is a sacrificial material in that it is reduced to cobalt by the hydrogen to permit an intimate contact and adherence of the ceramic to the metal. ¹²
Atomic Attraction	Any ceramic which contains a large amount of the lowest valence oxide of the metal to which it is applied, at the interface adheres to the metal. ¹³
Electrochemical or Ionization	All metals iron through copper in the electromotive series will plate iron. These platings are then believed to form the bond between the enamel and the iron. ¹⁴

In fact, other investigations have taken previously believed mechanical theories and proposed through essentially the same physical occurrence a different theory based on a chemical bonding.¹⁵ Therefore, when compiling information on the historical advancement of a bonding theory from older publications for determining how it relates to current theories, care must be taken in noting whether the various authors are using a mechanical or chemical nature as a basis for the theory.

THEORIES PRIOR TO MID-1960'S

The mechanical theory was mainly predominant when the equipment available for analyzing samples were the light microscope and/or x-ray diffraction. The experiments would show the surface of the metal before firing as being either smooth or rough and an after firing comparison, but could not tell on an atomic scale what the compounds or elements in contact were after firing.¹⁶ The major result seems to be whether or not the two materials were keyed together.

Articles by researchers who have reported on the various mechanical bonding theories are listed in Appendix A.

The chemical theories are concerned with the materials in contact at the interface between a ceramic and a metal having a capacity for either of the known chemical bonding mechanisms as previously mentioned. Therefore, the theories try to show, either analytically or through experimental results, the appropriate chemical, thermodynamic, and/or kinetic reactions to accomplish the bond. Articles by researchers who have reported on the chemical nature of the bond are listed in Appendix B.

Major review or comparison articles for much of the older work are:

- 1) "Further Data on Enamel Adherence" by Karl Kautz, which summarizes the gripping, electrolytic, dendritic and oxide layer theories up until 1936.
- 2) "Mechanics of Enamel Adherence" by R. M. King, W. K. Carter, J. M. Cayford, W. L. Honsley, W. C. Rueckel, which is a series of seventeen articles on both mechanical and chemical aspects of bonding from 1930 to 1945.
- 3) "Fundamentals of Glass to Metal Bonding" by V. F. Zackay, D. W. Mitchell, S. P. Mitoff, J. A. Pask, and R. M. Fulrath, which is a series of eight articles mainly on the chemical theories of bonding from 1953 to 1962.
- 4) "Nature of Adherence of Porcelain Enamels to Metals" by B. W. King, H. P. Tripp and W. H. Duckworth, which summarizes the dendritic, electrolytic, galvanic corrosion or oxide layer theories up until 1959.

CURRENT THEORIES

No generally published review or comparison articles or literature covering the last approximately fifteen to twenty years of work on the topic has arisen in the present literature search. A possible reason for a lack of new theories or reviews of the literature over these more recent years may have to do with the wealth of information contained in the fourth review article listed above. The background research for the article, "Nature of Adherence of Porcelain Enamels to Metals", is an extensive review and summary of past theory, plus a large volume of new research work, which was done by the Battelle Memorial Institute.¹⁷

Because of the vast source of data which the article contains, and the use of standardized comparison techniques, it has, and continues to form the basis for a significant amount of subsequent research.

The four major conclusions of the study are:¹⁸

- 1) The ceramic must wet the metal and that the bond developed between porcelain enamels and metals is essentially chemical in nature.
- 2) Good adherence requires that the ceramic at the interface be saturated with an oxide of the base metal, and that this oxide must not be readily reduced by the metal.
- 3) Good adherence of ceramics to metals appears to be the result of metal-to-metal bonding between the atoms in the base metal and metallic ions in the ceramic when certain chemical and thermodynamic requirements are met.
- 4) The oxides commonly known as adherence promoters help to establish and maintain the conditions necessary for good adherence without contributing directly to the bond developed.

Theory on the structure of the bond was on work done on the solubility of FeO in enamel type ceramics. At the solubility limit, the ceramics probably have an unusual structure because the glass forming ions and the oxygen ions which can be assigned to them are in such proportions that, on the average, only continuous chains can be formed. An example of this explanation is shown in Figure 2.

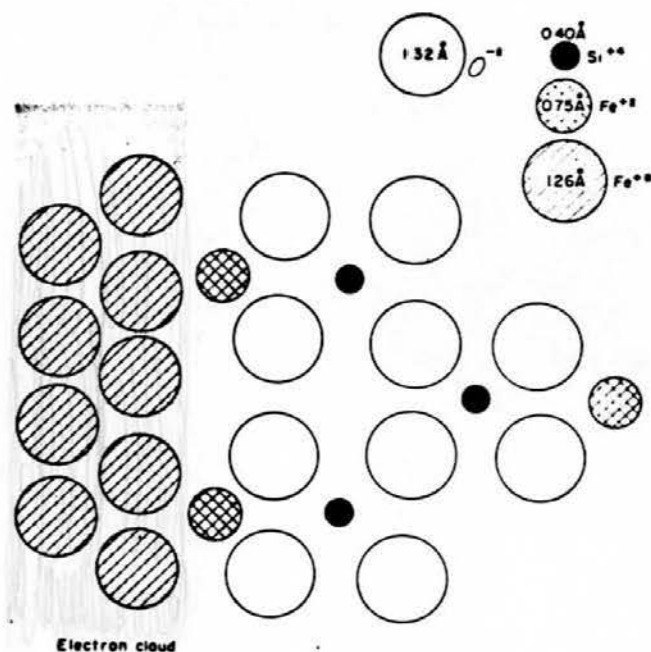


Fig. 2. Schematic representation of ceramic-iron interface.

Source: B. W. King, et al, "Nature of Adherence of Porcelain Enamels to Metals," Journal of American Ceramic Society, Vol. 42, No. 11, November, 1959, p.523.

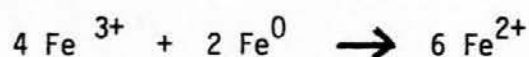
For determining approximate solubility of FeO in different borosilicate ceramics, factors were derived from the solubility curves which were generated by adding FeO to glass batches until metallic iron or wüstite appeared. Table 2 is a listing of the solubility factors which were found.

Table 2. SOLUBILITY FACTORS FOR FeO

Oxide	Factor
Na ₂ O	-0.63
K ₂ O	-0.45
CaO	-0.40
BaO	-0.11
MgO	-0.92
MnO	-0.00
Al ₂ O ₃	-1.21
TiO ₂	-1.34
ZrO ₂	-0.75
B ₂ O ₃	+1.70
SiO ₂	+1.20
P ₂ O ₅	+2.23

Source: B. W. King, et al, "Nature of Adherence of Porcelain Enamels to Metals," Journal of American Ceramic Society, Vol. 42, No. 11, November, 1959, p.514.

To obtain the FeO solubility, multiply the weight percentage of oxide in the glass by its factor, and add algebraically the products. A negative value means that glass can dissolve no FeO. A positive value indicates solubility in parts of FeO in 100 parts of glass at 1600°F, then thermodynamically the oxides should react with the FeO to form an Fe₃O₄ compound which, in turn, dissociates to Fe³⁺ and, finally, by the following reaction, form Fe²⁺, which causes the metal-to-metal bond at the ceramic-iron interface:



The significant result of the adherence promoters forming Fe_3O_4 is that Fe_3O_4 has a greater rate of solubility than other forms, such as FeO or Fe_2O_3 , as is predicted by the general kinetic formula for the rate of solution of an ideal solute in an ideal solvent as follows:¹⁹

$$dx/dt = K (C_L - X_x)$$

where:

dx/dt = rate of solution of a solute x at any given time t .

K = a constant depending on agitation, diffusion, etc.

C_L = concentration of solute at the limit of saturation

C_x = concentration of solute at time t .

Therefore, with the aid of the adherence promoters, an oxide is established which is more readily soluble. Then the oxygen, which was shown to be the primary corrosive agent, can begin its action sooner to allow the metal to metal bonds to form.

Douglas and Zander helped confirm with the use of x-ray diffraction that glass applied to nickel flashed sheet iron formed Fe_3O_4 which is in agreement with the Battelle report.²⁰

Most of the work being done more recently is with the aid of the scanning electron microscope, electron microprobe, energy dispersive x-ray, x-ray diffraction, Auger electron microscope and/or microprobe. Through the use of this highly sophisticated equipment, more accurate identities of the materials in the interfacial region between ceramics and metals are leading to a better understanding of the bonding mechanisms.

Dr. Arsenize Nedeljkovic has, with the use of such equipment, reported on:²¹

- 1) The role of nickel at the ceramic-metal interface.
- 2) The composition of the interfacial region of titania direct-on cover enamels.
- 3) Structural differences at the interface of cobalt versus nickel containing glasses.

And Dove, with the aid of the Auger electron microscope and ion microprobe, has reported on the "Chemical Profile of Oxidized Nickel Films."²²

Although much of the recent work has still not led to a generally accepted bonding theory, it has helped to accurately specify the compounds present in the bond area, which, in turn, brings a more thorough understanding of the effects of changing test parameters.

EFFECTS OF CHANGING TEST PARAMETERS ON CERAMIC TO METAL BONDING

Setting theory aside, much of what is done in the manufacture of products which require ceramic to metal bonds is done simply because it works. And, once again, just as a theory for the bonding mechanism has been illusive, so has a universal test of ceramic to metal bonding. Impact tests using falling weights or pendulums, deformation tests involving bending, torsion, compression, or tension, and even kinetic, electrical, and microscopic tests have all had their turn in saying whether the bond was working.²³ Therefore, also setting a standardized test for bonding aside, several of the critical parameters in the bond will be discussed.

One researcher has listed the critical factors in the bond in order of importance as:²⁴

- 1) Base metal composition
- 2) Metal surface preparation
- 3) Enamel composition
- 4) Mill additions
- 5) Furnace atmosphere
- 6) Firing temperature
- 7) Heating rate
- 8) Cooling rate

For simplicity of presenting information in a general form, the above factors will be combined into two variables, surface changes and firing changes; surface changes being defined as changes involving any of the first four factors, and firing changes involving the last four factors.

SURFACE CHANGES

The effect of using a relatively low strength decarburized enameling steel in the 0.002% to 0.004% carbon range, compared to a 0.1% to 0.2% carbon steel fired at 1500⁰F. is that the higher carbon steel will undergo the alpha to gamma iron transformation when the low carbon steel will not. The result of the transformation being:

- 1) An increase in the capacity of the steel to hold hydrogen from the furnace atmosphere which may, upon cooling, lead to surface defects in the ceramic.
- 2) Thermal expansion problems in the steel to glass interface during the transformation which may cause cracking or crazing of the ceramic.

Ways of preventing the hydrogen problems are to use a gritblasting instead of pickling surface preparation and to apply an oxide film on the steel prior to the ceramic to prevent hydrogen penetration. In addition,

a slow cooling rate to allow the steel to go back to the alpha phase before solidifying the ceramic is reported to help the crazing problem.²⁵

In the case of some stainless steels, such as Series 300, the alloying elements can suppress the gamma to alpha transformation so that it does not occur upon cooling, thereby eliminating the transformation expansion problem. However, due to the relatively high coefficients of expansion of these stainless steels, a ceramic also with a high coefficient of expansion must be used to satisfactorily bond with the metal.²⁶

Coating a variety of other metals was done by researchers from Battelle to confirm their conclusions as previously stated. The results were that they obtained appreciable adherence with a relatively standard ceramic on the following metals:²⁷

Cu, Zn, Sn, Cr, Co, U, Ag, Al, Pb, Mo, Rh, Br, Si, Sb,
W, Ni, Mg, Ti, Ta, Mn, Pd, Ca, Zn, Bi, Fe, Pb

In the Battelle study, it was demonstrated that an important pre-requisite for bonding was the wettability of the ceramic on the metal; the condition of good wettability being related to a low interfacial tension of the ceramic and metal.

From the experiments which were performed, the following factors were shown to either promote or deter wettability.²⁸

Factors which generally promote or maintain wettability:

- 1) Additions of oxides whose free energy of formation are less than ferrous oxide (FeO) with the exception of PbO and Bi_2O_3 .
- 2) Increases in the cobalt oxide content of the ceramic.
- 3) Increases in the ferrous oxide content of the ceramic.
- 4) Increases in furnace oxygen.

- 5) Increases in glass former content of the ceramic
- 6) Maintenance of an oxide layer on the metal surface
- 7) Additions of oxide additions in the form of adherence promoters

Factors which generally deter wettability:

- 1) Oxide-free metal surface
- 2) Excessive oxide content of ceramic due to crystalline material
- 3) Excessive reducible oxide content
- 4) Increases in glass-modifier content of the ceramic

The effect of wetting on good bonding is not, however, an iron-clad requirement, as noted by other researchers who have claimed that, "Poor wetting is not necessarily an indication of poor bonding". However, systems with good wettability generally develop a strong chemical bond."²⁹

Changing to the question of the effect surface roughness of the metal has on bonding; many different conditions experimentally and analytically, as shown in a review of Appendix A, have been written on this mechanical theory.

Relatively recent research and analytical methods of estimating mechanical to chemical bond strengths have both shown this mechanism to be of relatively minor importance in directly contributing to the bond strength.³⁰ The value of the surface roughening, instead, is possibly to give a greater specific surface area which can help develop satisfactory bonding by lowering the required unit bond strength.

How the surface factors ultimately affect bonding is influenced by changing firing parameters as was already indicated in the prior brief discussions of O_2 in the furnace atmosphere and the cooling rate on gamma-alpha transformations in steels.

FIRING CHANGES

Assuming that the contact angle of the ceramic to the metal interface is a true indicator of wettability of the ceramic to the metal, and in turn that wettability does lead to bonding, experiments have shown that: ³¹

- 1) Oxygen or other surface-adsorbed gases are of primary importance in determining wetting characteristics.
- 2) Temperature of firing does change the contact angle. Figure 3 is a plot of contact angle of a fairly common ceramic on platinum during heating and cooling cycle.

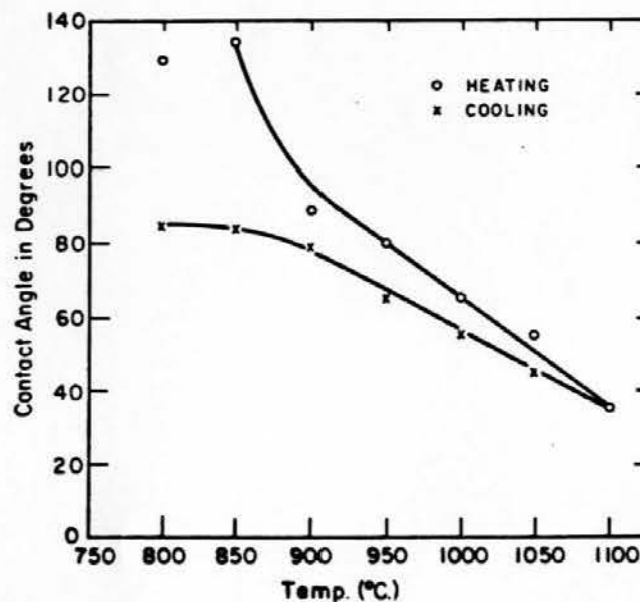


Figure 3. Contact angle vs. temperature of $\text{Na}_2\text{O} \cdot 2\text{SiO}_2$ glass on platinum.

Source: Richard M. Fulrath et al, "Fundamentals of Glass-to-Metal Bonding: III, Temperature and Pressure Dependence of Wettability of Metals by Glass," Journal of the American Ceramic Society, Vol. 40, No. 8, August, 1957, p. 271.

The corresponding definition of the contact angle for the ceramic-metal interface is shown in Figure 4.

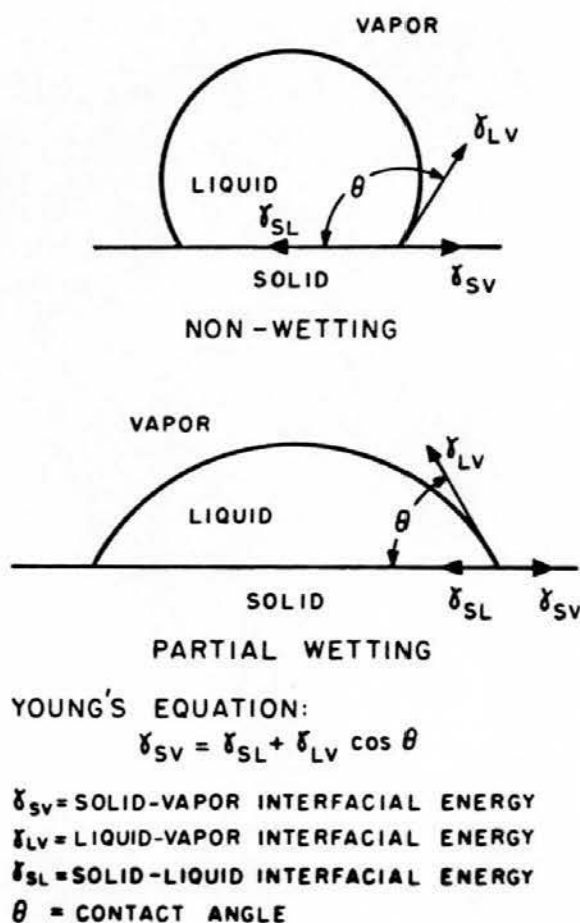


Figure 4. Equilibrium for small drops of a liquid on a solid.

Source: Richard M. Fulrath et al, "Fundamentals of Glass-to-Metal Bonding: III, Temperature and Pressure Dependence of Wettability of Metals by Glass," Journal of the American Ceramic Society, Vol. 40, No. 8, August, 1957, p.269.

- 3) Pressure changes during firing will affect the contact angle (as defined in Figure 4) as follows:
 - a) Low pressures normally imply high surface energies of the metal and lead to only partial wetting.
 - b) At slightly higher pressures, surface energy is reduced but interfacial energies are still high so that again only partial wetting occurs.
 - c) At still higher pressures, the interfacial energy decreases relative to the surface energy and greater wetting occurs.

Generally, however, it has been concluded as noted that, "the requirements for successful firing of ceramics are comparatively simple [compared to surface factors]." ³² Temperatures and times for firing materials with difference surface parameters have been known for some time, where the main criteria is to produce a smooth continuous glassy layer. And, aside from allowing for possible crystalline transformations in the metal, heating and cooling rates are not considered very important as long as a uniform part temperature is maintained. ³³

Although it is felt that firing parameters are secondary to surface parameters in the bonding mechanism, it must be remembered that the firing process is dynamic and that measurements of what happens during firing are not as easy to attain as "material-in, material-out" chemical analysis associated with the surface parameters. To illustrate some of the firing relationships, Figure 5 is a plot of various properties of a titanium cover coat enamel on steel during a twelve minute firing cycle, as reported by A. L. Friedberg.

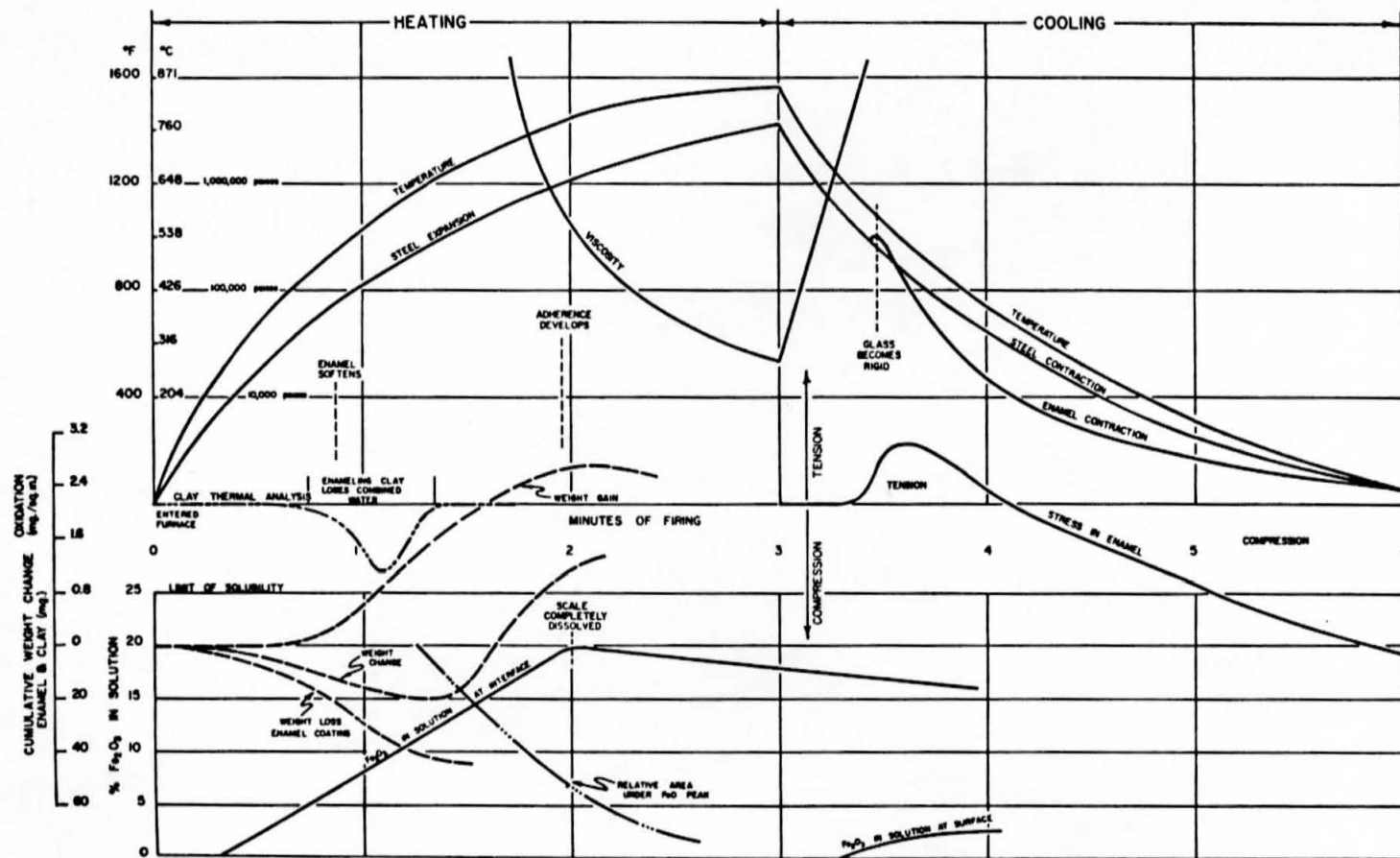


Figure 5. Cover coat firing.

Source: Andrew I. Andrews, Porcelain Enamels (Champaign, IL: Garrard Press, publisher, 1961), p. 427.

With the wealth of experimental data, physical observations, and analytical theories available on bonding and still no universal agreement, it appears that the next question to attempt to answer is, what to do next?

WORK TO BE DONE

Logical ways of determining what to do might be to:

- 1) Decide what ceramic-metal combinations are most used in industry. Collect past work on the use of these material combinations and re-conduct critical experiments using the new analysis equipment which is available to help reconfirm the theory;
- 2) Decide what ceramic-metal combinations may be used in the future in areas such as bone and joint surgery, and start from the most basic principles in conducting research;
- 3) Concentrate not on the more complex issue of a ceramic-metal bond, but instead, first do more fundamental work in gaining additional knowledge of the ceramic structure. This information, in turn being used as basis for better understanding the conductivity, transfer and bonding mechanisms;³⁴
- 4) Work on attaining a more specific and quantitative application of the equations already presented through experimentation on the chemistry of ceramic-metal systems involving equilibrium constants, composition stabilities, and activity coefficients;³⁵
- 5) Use present day equipment, such as the electron microprobe and scanning electron microscope to study a wider range of enamel compositions.³⁶

Not all research decisions can be made from the standpoint of logic, however, and it appears that a controlling factor in determining which direction research for manufacturing companies will be pointed is in the hands of government agencies. Legislation such as the Clean Air and Hazardous Waste Acts have made it a foregone conclusion that a good share

of available work from these sources will be concentrated on material substitutions for reducing or replacing lead, barium, cobalt and a host of other materials. It is only hoped that much of this work can also be applied to solving the basic problem of understanding the bonding mechanism.

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